N **20**/695,922 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UGGERUD ET AL.

Examiner:

UNKNOWN

Serial No.:

10/695,922

Group Art Unit:

3629

Filed:

October 28, 2003

Docket No.:

13671.0001-US-C2

Title:

ENERGY COORDINATION SYSTEM

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents P.O. Box 1450, Alexandria, V. 22313-1450 on March 16 2004

By. Name: John C. Reich

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report received by the Patent Office on December 21, 1999.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/086,122 filed on May 28, 1998 and U.S. Serial No. 10/336,280 on January 3, 2003. Enclosed for the Examiner's information is a copy of the International Search Report. Applicant(s) note(s) reference(s) EP 0 614 088, US 5,566,084, US 5,479,358, and US 4,847,781 also listed in the search report was/were previously cited to or by the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P. O. Box 2903

Minneapolis, Minnesota 55402-0903

612.332.5300

PATENT TRADEMARK OFFICE

John C. Reich

Reg. No. 37,703



INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	Application Number:
13671.0001-US-C2	10/695,922

Applicant: UGGERUD ET AL.

Filing Date: October 23, Group Art Unit: 3629

			U	.S. PATENT DOCUMENT	rs			
EXAMINER INITIAL	DOCUM	ENT NO.	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
	US-6,061,0	509	05-2000	Kanoi et al.				
	US-5,974,3	369	10-1999	Radtke et al.				
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	DOCUM	ENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
							YES	NO
	0 614 088	Al	09/07/1994	EP				
		OTHER	DOCUMENTS	(Including Author, Title, D	ate, Pertinent I	Pages, Etc.)		
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		Identificati		of Time Deviation and Inad and Measurement of Compor (May 1982)				

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TENT TRADEMARK OFFICE

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: N	March 📙	0 , 2004
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Sheet I of I

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 13671.0001-US-C2	Application Number: 10/695,922		
IN AN APPLICATION	Applicant: UGGERUD ET AL	Applicant: UGGERUD ET AL.		
(Use several sheets if necessary)	Filing Date: October 28, 2003	Group Art Unit: 3629		

· · ·		ι	J.S. PATENT DOCUMEN	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
	US-4,701,698	10-1987	Karlsson et al.				
	US-4,465,970	08-1984	DiMassimo et al.				
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	US-4,023,043	05-1977	Stevenson, Robert H.				
	4,847,781	07/11/1989	Brown, III et al.				
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						YES	NO
	ОТНЕ	R DOCUMENTS	S (Including Author, Title,	Date, Pertinent I	Pages, Etc.)		
	Utilizatio	n of Components	n of Time Deviation and Ina s for Performance Evaluation AS-101(5):1152 (May 198)	on and Correctiv	nange on Interconne e Control", IEEE T	ected Systems Transactions of	s, Part II: on Power
	Internet, Electrote	Internet, "EnergyWeb TM : Energy Use Monitoring and Management via the World Wide Web", 2 pages, Electrotek Concepts, Inc. (last updated May 2, 1997)					
	Internet, (1997)	"OG&E: Utility I	Deregulation Plans Spur Te	chnology Trans	formation", 2 pages	s, IBM Corpo	ration
	Internet, updated	"Siemens: Deregi February 16, 1998	ulation Products/Siemens P	SC, USA", 3 pa	ges, Siemens Powe	r System Cor	itrol (last

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Date Mailed: March 2004	
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Sheet 1 of 1

FORM 1449*	INFORMATION DISCLOSURE STATEMENT	Docket Number: 13671.0001-US-C2	Application Number: 10/695,922	
IN AN APPLICATION (Use several sheets if necessary)		Applicant: UGGERUD ET AL.		
		Filing Date: October 28, 2003	Group Art Unit: 3629	

		i	U.S. PATENT DOCUMEN	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
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	US-5,627,759	05-1997	Bearden et al.				
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						YES	NO

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	Internet, ".	ACES® Your I	SO Scheduling Solution", 2	pages, (last upd	ated April 11, 1998	3)	
	 		Network Advantage: Produc			· · · · · · · · · · · · · · · · · · ·	7, 1998)
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	Internet. "	Welcome to Ur	nified", Welcome to Unified	Information Inc	c. (last updated Aug	pust 12 1998)

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EXAMINER	DATE CONSIDERED

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Date Mailed: March	$1 U \rho_{2004}$	Sheet 1

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(Use several sheets if necessary)		Filing Date: October 28, 2003	Group Art Unit: 3629	

		U	S. PATENT DOCUME	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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		FOR	EIGN PATENT DOCUM	MENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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			(Including Author, Title,				
	Internet, "N Springs, Co	Internet, "NSR: National Systems & Research Co.", 3 pages, National Systems & Research Co., Colorado Springs, Colorado (printed August 14, 1998)					
	Internet, "E 3 pages, (p	Internet, "Energy Scheduling and Accounting: Energy Management Application", Bailey Network Management, 3 pages, (printed August 18, 1998)					
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	Prowse, D.	Prowse, D. et al., "Experience with Joint AGC Regulation", IEEE, 1 page (1994)					
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UGGERUD ET AL.

Examiner:

UNKNOWN

Serial No

10/695,922

Group Art Unit:

3629

October 28, 2003

Docket:

13671.0001-US-C2

Confirmation

7017

Notice of Allow.

Date:

UNKNOWN

No.:

March 28, 2004

Due Date: Title:

ENERGY COORDINATION SYSTEM

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first eless mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, V. 22313-150 on March 6, 2004.

Name: John C. Reich

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 23552
PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

☐ Information Disclosure Statement, Form 1449, 0 Reference(s)

Other: Copy of International Search Reported

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpartment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: John C. Reich Reg. No.: 37,703

JCR:mma